GEORGE MASON UNIVERSITY
AGENDA FOR THE FACULTY SENATE MEETING
OCTOBER 5, 2016
Robinson Hall B113, 3:00 – 4:15 p.m.

I. Call to Order

II. Approval of the Minutes of September 7, 2016

III. Announcements

Rector Tom Davis
Provost David Wu: Goals for AY 16-17 — Attachment A
Brief Budget Update

IV. Committee Reports

A. Senate Standing Committees

Executive Committee
Academic Policies
Budget and Resources
Faculty Matters
Nominations
Organization and Operations — Attachment B

B. Other Committees/Faculty Representatives

V. New Business

Honor Code & System Changes (LaShonda Anthony, Director of Office of Academic Integrity; Catherine Sausville) — Attachment C, Link to Slides

VI. Remarks for the Good of the General Faculty

VII. Adjournment

Attachment A

Provost's 2016-17 Goals

Rev. 8/23/2016

1. **Build Resources**
    a. Research funding
        - Increase sponsored research expenditures by 5%
        - Increase number of proposals submitted, value of awards, and postdoctoral fellows supported by 10%
        - Submit at least one proposal to VRIC, Go-Virginia (assuming programs are funded and accepting proposals) and a private partner

    b. Financial performance
        - Meet enrollment targets in F17 budget
        - Increase executive and professional education revenues by 20%

2. **Strengthen Organization and Programs**
    a. Transformative learning
        - Increase student participation in transformative learning (research and discovery, global engagement, entrepreneurship, social impact & innovation)
        - Increase study abroad participation by 15% and international student enrollments by 20%
        - Complete Year-One of the recommendations from the Student Experience project (re-engineering of key processes)

    b. Access
        - Develop collaborative plan with NOVA for transfer best-practice
        - Study and propose changes to tuition and fee structure to balance resource needs with access and retention objectives in collaboration with Finance

    c. Research
        - Complete strategic plan for research with concomitant metrics and milestones by January 1 and begin implementation of plan

    d. Wellbeing and diversity
        - Execute recommendations from diversity and inclusion plan, including: cultural competency training, campus climate assessment, search committee training, implement waiver process for targeted faculty opportunity hires in collaboration with HR and CDE
        - Show improvement in key satisfaction metrics for instructional/research faculty in collaboration with HR

    e. Administrative and financial improvements

- Complete roll out of new budget model for Educational and General funds; design new budget model for F&A and multi-disciplinary programs in collaboration with Finance
- Deliver enrollment management improvements: Integrated information system, improved enrollment/financial projection data in collaboration with ITS and HR
- Roll out strategic plan metrics at the July summer planning conference; track throughout FY17 and prepare year-end report; align deans metrics

3. **Strategic Initiatives**
   a. Digital Innovation:
      - Bring at least three new online graduate programs to market
      - Develop Virginia degree completion plan in collaboration with SCHEV and ODU and advance partnership with NOVA

   b. Research and entrepreneurship:
      - Institute of Biomedical Innovation (IBI): recruit director, identify new faculty recruits, complete lab fit-out, complete collaborative VRIC proposal with INOVA
      - Cybersecurity/Information Technology: Build multidisciplinary coalitions and research capacity toward the establishment of a multidisciplinary institute
      - Open MIX@Fenwick: collaborative space to support cross-disciplinary entrepreneurial activity of students, faculty, alumni and the community
      - Launch Entrepreneurship@Mason: university-wide program to identify and advance entrepeneruriał talent and support the creation and commercialization of intellectual property

Attachment B

Resolution Calling for the Creation of a Committee to Develop a Conflict of Interest Policy that Addresses Institutional COIs that may Arise from Private Donations, Ownership in Licensed Intellectual Property, or Other Circumstances

Background

GMU currently has an Office of Research Integrity and Assurance (ORIA) which "promotes ethical and responsible conduct of research" and "provides policies, procedures, support, training and advice to aid researchers with compliance related to federal, state, university, and local regulations," "identifies compliance risk," and "monitors and investigates instances of noncompliance."[1] This office, along with other offices on campus, administers the University's Conflict of Interest policies for employees.

However, the University does not currently have a policy that addresses potential institutional conflicts of interest that may arise due to gifts to the University from private donors, ownership in licensed intellectual property, or other circumstances. Given that these scenarios are increasing in frequency, it is important that the University now create such a policy to ensure that it carefully oversees its intangible assets such as its intellectual prestige, integrity in teaching and research, and reputation of service to the public good.

Faculty members have the primary responsibility for preserving the integrity of their university's teaching and research as well as its mission to serve the greater good;[2] and the GMU Faculty Senate has the "fundamental general responsibility to speak and act for the General Faculty on matters affecting the University as a whole" as well as the "particular responsibility to formulate proposals on matters affecting the welfare of the University and on university-wide academic policy."[3]

Therefore, be it resolved that

1) The Faculty Senate and the Administration collaborate to develop a detailed policy for dealing with conflicts of interest arising from private donations, ownership in licensed intellectual property, and other relevant circumstances;

2) The committee consist of three to five faculty from at least three different schools/colleges (at least one of whom will be a Senator, and one of whom will serve as chair) elected by the Faculty Senate, as well as one or more administrators (as the Administration sees fit) appointed by the President or Provost — and to ensure faculty governance, elected faculty should compose the majority of the committee;

3) The resulting policy include instructions for how its provisions are to be implemented;

4) The resulting policy be consistent with AAUP guidelines (or, if not, the Committee's report should explain why one or more of these guidelines are inappropriate);

5) The committee complete its work and provide a final report to the Senate no later than the Senate's final scheduled meeting of the Spring 2017 semester.

[1] **http://oria.gmu.edu/**

[2] In order to preserve the integrity of higher education against undue extra-mural influence, the AAUP has repeatedly asserted, sometimes in concert with other educational associations, that the role of the faculty is key. For instance, a 1966 policy statement jointly formulated by the AAUP, the American Council on Education, and the Association of Governing Boards, asserts faculty have the "primary responsibility" for ensuring the proper conduct of research activities (as quoted in Cary Nelson, *Recommended Principles*, AAUP Foundation/Univ of Illinois Pr, 2014, p.26). The AAUP also emphasizes that shared governance and academic freedom are "inextricably linked." Accordingly, it cautions that the "relationship between industry and institutions of higher education in funding faculty research" poses a threat to "not only academic freedom and integrity but also the faculty role in institutional governance" (*RP*, 26). Warning that the "credibility and integrity of our nation's universities are now at stake," the AAUP "urges universities–and especially faculty senates" to "review, update, and strengthen their written policies and guidelines for structuring and managing academy-industry alliances and other sponsored research agreements on their campuses" (*RP*, 34). It is "entirely appropriate," the AAUP states, "that faculty play the leading role in formulating standards" (*RP*, 26).

[3] **http://www.gmu.edu/resources/facstaff/senate/**

Attachment C

Academic Integrity
4400 University Drive, MS 6C9, Fairfax, Virginia 22030

Quick Fact Sheet

2015-2016 By the Numbers

Total Number of cases: 416

Total Number of Students Referred: 563

Total Number of Repeat Students: 24

Student Referrals (Note students may be referred for more than one violation)

- Students Referred for Cheating: 342
- Students Referred for Plagiarism: 238
- Students Referred for Lying: 33
- Students Referred for Stealing: 14

Case Resolution Options Used during 2015-2016 (student directed)

- Prehearing Resolution-Student accepts responsibility and sanction (306 student cases)
- Expedited Review-in paper review by committee (100 student cases)
- Sanctions Only Hearing-In person review of sanctions with admission of responsibility (17 student cases)
- Full Hearing-In person review of case-all parties appear (72 student cases)
- In Queue-student has met with office and is awaiting a hearing (35 student cases)
- In Progress- student has not scheduled an appointment (33 student cases)